

Required Documentation		Notes
	<b>New Account Application Form (NAAF)</b>	Keep in client file. No copies to CAM. CU to set up client ID on Univeris based on NAAF. Do NOT complete Section D.
	<b>CAM Transfer Authorization Form</b>	In lieu of the T2033 Form. Send copy to CAM.
	<b>Recent Client Statement</b>	Send copy to CAM with transfer docs.
	<b>Account Agreements &amp; Disclosure Documents</b>	Given to client when NAAF is completed and signed.
Optional Documentation		When to Use
	<b>CU GIC Transfer Form</b>	Used to transfer GICs in the plan to CU. Send to CAM with transfer package. CAM to forward to Dealer.
	<b>Third Party Determination Form</b>	Used when the investor indicates the account will be used on behalf of a third party that is not registered on the account. Keep copy in client file.
	<b>Systematic Plan Instructions</b> (PACs, SWPs)	Used to accept investment instructions on systematic plans. Send to CAM with transfer docs for setup on Univeris. Use "Internal" Sys Plan Form for nominee. (PAC run dates: 15 <sup>th</sup> & 28 <sup>th</sup> ; Frequency options: semi-monthly, monthly, quarterly, semi-annually, annually).
	<b>EFT Form</b>	Allows the Investor to designate the banking account which the CAM Nominee Plan will use for electronic funds transfer (EFT) for <u>non-systematic</u> plan withdrawals. Send copy to CAM with Transfer docs.
	<b>Power of Attorney</b> (Limited and General)	Keep in client file.

